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Lower-order-symmetry induced bandwidthcontrollable terahertz polarization converter

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Abstract

A transmission-type terahertz (THz) variable-bandwidth cross-polarization converter consisting of single-layer ultrathin metasurface is designed, fabricated and experimentally demonstrated. Both the simulated and measured results show that the bandwidth of linear polarization conversion is about 0.45 THz with a polarization conversion ratio over 90%. Different from the previous studies that mainly contributed to the geometry phase, the excellent characteristic of our designed device results from field-suppression of co-polarization components and field-enhancement of cross-polarization conversion is tunable by consecutively breaking the symmetry of the micro-structure, that is, the lower-order rotational symmetry, leading to a lower-order-symmetry-controlled THz cross-polarization conversion. Our study is an important step forward in developing compact, integrated, and bandwidth-controllable THz circuits and functional devices.

Keywords: ultrathin metasurface, polarization converter, bandwidth-controllable

(Some figures may appear in colour only in the online journal)

1. Introduction

Transformation optics, proposed by U. Terahertz (THz) waves, defined as 0.1–10 THz (1012 cycles per second), have attracted much attention due to their potential applications in noninvasive testing, chemical and biological sensing, wireless communication, and so on [1–4]. However, most existing THz components such as THz sources, detectors, modulators, filters, sensors, absorbers and polarizations, are still large and bulky, which hinder the large-scale integration of THz system [5]. As a consequence, some new possible technologies (such as metamaterial technology and photonic crystal) are developed to resolve these issues. Metasurfaces are metamaterials of reduced dimensionality, which open up new avenues for designing the ultra-compact THz devices with low cost fabrication and reduced absorption due to their unique way of manipulating electromagnetic (EM) wavefront at subwavelength scale [6, 7].

Many metasurface-based ultra-thin devices with innovative functionalities, such as a generalized Snell's law of refraction, dual-polarity planar metalens, spin-Hall effect, wave plates, holograms and vortex beam, were proposed and demonstrated [8–15].

Polarization conversion devices that convert a beam of EM waves into a well-defined polarization state, have wide applications in THz science and technology such as imaging, detection, sensing, signal processing, etc. Recently, metasurfaces have been utilized to realize the ultra-compact and high-efficiency polarization conversion devices. For example, Hao *et al*, proposed a reflection-type metasurface to manipulate the polarization state of incident beams based on the anisotropic characteristics of the *H*-shaped micro-structure [16]. Li *et al*, and Levesque *et al*, have proposed L-shaped nanostructure to realize single-frequency and narrow-band cross-polarization of reflected waves by using charge transfer in the corner of the designed structure [17, 18]. At the same time, high-efficiency and broadband (reflection-type) linearpolarization converters (LPCs) were demonstrated by virtue of the multi-frequency superposition or the half-wave plate effects induced by the geometry phase [19–23]. Furthermore, Sui et al demonstrated the synthesis topology optimization method to design ultra-broadband LPCs [24]. Then, a theoretical work of broadband tunable (reflection-type) LPC based on graphene-based metasurfaces was also reported by controlling the Fermi energy of the graphene sheets [25]. Besides the reflection-type polarization conversion devices, polarization conversion in transmission mode has also aroused considerable attention. For instance, based on the multi wave interference effects, tri-layer grating metasurfaces were designed by Cong et al to freely rotate the linear polarization of THz waves in a broadband configuration [26, 27]. Another method to realize polarization rotation in transmission mode is based on the contribution of the localized surface plasmons (LSP) and surface plasmon polaritons [28]. Moreover, rotationally symmetric 'F' shaped and cavity-based transmissiontype LPCs were theoretical designed and experimentally demonstrated, respectively [29, 30]. In addition to the LPCs, other kinds of single-frequency, multi-frequency and broadband metasurface-based linear-to-circular polarization converters have also been widely investigated ranging from microwave to optical frequencies by a similar approach [31-38].

To date, most of the LPCs mainly rely on the reflectionmode, resulting in the inherent challenge in separating the reflected wave from the incident wave. In addition, the physical mechanism of the metasurface-based LPCs [19-23] is attributed to the geometry phase (due to the rotation of the nano-pillars). More importantly, how to control the bandwidth of LPC have not been drawn sufficient attentions until now. In this paper, we propose and experimentally demonstrate a single-layer THz broadband cross-polarization converter in transmission mode by breaking the symmetry of the designed structure (double-split air ring micro-structure). For the incident THz waves with horizontal polarization, the excited E_x components in horizontal direction are cancelled out due to the π phase difference between them, while maintaining the orthogonal E_{ν} components with phase changing of 0, leading to a nearly 90° rotation of the transmitted THz waves. In particular, the bandwidth of our proposed device can be well manipulated by gradually breaking the symmetry of the micro-structure, acting as a bandwidthcontrollable THz cross-polarization converter. We also noted that a double-split metal ring micro-structure was proposed to achieve a giant amplitude modulation and the polarization conversion (just in single-frequency with the maximum PCR about 60%) based on the reduced coupling of the metamaterial structure with the free space and the constructive resonance in the direction of the incident electric field (copolarization direction) [39]. Here, we design a Babinnet metasurface (a complementary structure) to mainly discuss a broadband (with the maximum bandwidth of 0.45 THz), especially a bandwidth-controllable LPC with PCR over 90%. The fundamental principal (polarization conversion) of such a structure mainly contributes to the field-suppression of co-polarization components (destructive resonance in copolarization direction) and field-enhancement of cross-polarization components (constructive resonance in cross-polarization direction).

2. Design and fabrication

Figure 1 illustrates the schematics and optical images of such a lower-order-symmetry-controlled broadband THz polarization converter. The Babinet-inverted resonator arrays (metasurfaces) is designed as shown in figures 1(a) and (b) (unit cell), which consists of split dual-ring air slots embedded in the gold film. For $\Delta S_1 = \Delta S_2 = 0$ (figure 1(b)), the planar metasurfaces are symmetrical in both x- and y-axis, resulting in non-polarization rotation or conversion. However, for $\Delta S_1 = \Delta S_2 \neq 0$, the structure symmetry is broken in both xand y-axis, i.e., the two-fold rotational symmetry (the lowest order rotational symmetry). In this situation, the cross-polarization (y-polarized) transmission THz waves are observed (red arrows in figure 1(a)) for the x-polarized illumination. More interesting is that the bandwidth of cross-polarization conversion can be well modulated by gradually varying ΔS_1 (ΔS_2) , that is, consecutive shifting of both the metal rods (the region in the dashed box). The detailed structure parameters are as follows: $L_1 = 70 \ \mu \text{m}, L_2 = 110 \ \mu \text{m}, P = 180 \ \mu \text{m}, L_{3+}$ $L_4 + h = 90 \,\mu\text{m} \, (L'_3 + L'_4 + h = 90 \,\mu\text{m}, L_3, L'_3, L_4, L'_4, \text{ and}$ h are variables), and $\Delta S_1 = \Delta S_2$. The thickness of the gold film is 100 nm with electrical conductivity of 4.561×10^7 s m⁻¹. The substrate is polyimide (PI) with thickness of 25 μ m, and the corresponding permittivity is $\varepsilon_{pi} = 3.5 + 0.035i$. To experimentally demonstrate the broadband and bandwidth-controllable linear polarization conversion, the ultra-thin metasurfaces were fabricated using the standard photolithography process and the lift-off process. After a serial of technical processing such as spin-coating, photolithography, sputtering, and lift-off, the two-fold rotational symmetry of metasurfaces are formed on the PI substrate, as shown in figures 1(c) and (d).

3. Results

The polarization characteristics of the transmitted THz waves can be described by the polarization conversion rate (PCR), which is defined as

$$PCR = t_{yx}^2 / (t_{xx}^2 + t_{yx}^2),$$

where t_{ij} is the *i*-polarized electric-field component transmission from *j*-polarized incident THz waves (i, j = x, y). In this paper, the polarization of the incident THz waves is *x*-polarized in all of the simulations and measurements.

Figure 2 shows bandwidth-controllable properties of such a linear polarization converter by changing of the ΔS ($\Delta S_1 = \Delta S_2 = \Delta S$), i.e., gradual symmetry breaking in the split dual-ring slots, with $L_3 = L'_3 = 31 \,\mu\text{m}$, $L_4 = L'_4 = 27 \,\mu\text{m}$, $h = 32 \,\mu\text{m}$. Here, the simulations of the



Figure 1. Schematics illustrating the periodical structure (a) and unit cee (b) of the broadband terahertz linear polarization converter. (c), (d) Optical images of one part of the fabricated sample. The scales in (c) and (d) are 200 μ m and 50 μ m, respectively.

transmittance spectra and PCR are carried out by the commercial microwave software CST Microwave Studio[®]. And, the THz time domain spectroscopy (THz-TDS) system with 9.5 GHz resolution is utilized to measure the corresponding transmittance spectra of the sample. For $\Delta S_1 = \Delta S_2 = 14 \,\mu m$ and x-polarized illumination, as shown in figure $2(a_1)$, the transmittance of the cross-polarized THz waves (t_{yx}) is much higher than that of the co-polarized component (t_{xx}) ranging from 0.61 to 1.06 THz, which means that the polarization of the transmitted THz wave is mainly converted into their perpendicular direction (cross-polarization). Therefore, a broadband (0.45 THz) cross-polarization converter is realized with PCR over 90%, as shown in figure $2(a_2)$. Comparing with the calculated and measured results, they show good agreement, except for a slight frequency shift between them. This discrepancy is attributed to the fabrication errors. On the other hand, for $\Delta S_1 = \Delta S_2 = 0$, the PCR is nearly zero ranging from 0.35 to 1.45 THz (not show here), resulting in the noncross-polarized transmitted THz waves. That is to say, there will be no polarization rotation in the case of the microstructure with symmetry both in x- and y-axis. On the contrary, when $\Delta S_1 = \Delta S_2 \neq 0$ (i.e. $\Delta S_1 = \Delta S_2 = 14 \,\mu\text{m}$), the metsurfaces show asymmetry both in x- and y-axis, and the PCR over 90% appears at f = 0.61 - 1.06 THz in both simulation and measurement. Here, we emphasis that although the metasurfaces present asymmetrical in x- and y-axis directions for $\Delta S_1 = \Delta S_2 \neq 0$, the designed micro-structure still has two-fold rotational symmetry, which can be defined as the lowest order rotational symmetry. Therefore, the crosspolarized conversion of transmitted THz waves is completely demonstrated by the asymmetrical (lower-order-symmetry) of the metasurfaces. When we further decrease the shifting distance of the metal rods (the region in the dashed box of figure 1(b)) i.e., $\Delta S = 8 \,\mu m$, $4 \,\mu m$, the measured PCR over 90% are 0.24 THz and 0.14 THz, respectively, as shown in figures $2(b_2)$ and (b_3) of the gray regions (the transmittance spectra are shown in figures $2(a_2)$ and (a_3)), which demonstrates that the bandwidth (with PCR over 90%) of our designed linear polarization converter can be well modulated by accurately controlling the shifting distance of metal rods. Figure 2(c) shows the numerical simulation of PCR versus gradual change of the shifting distance ΔS , which further verifies the controllable bandwidth of the broadband crosspolarized conversion.



Figure 2. Simulated and measured transmittance spectra and PCR for $\Delta S_1 = \Delta S_2 = 14 \ \mu m$ (a₁) and (b₁), $\Delta S_1 = \Delta S_2 = 8 \ \mu m$ (a₂) and (b₂), $\Delta S_1 = \Delta S_2 = 4 \ \mu m$ (a₃) and (b₃) and $h = 32 \ \mu m$. (c) PCR with gradually varying the values of ΔS ($\Delta S_1 = \Delta S_2 = \Delta S$).

To understand the underlying mechanism of crosspolarized conversion caused by the asymmetric/lower-ordersymmetry, electric-field and phase distributions at 0.65, 0.79, and 0.97 THz for $\Delta S = 14 \,\mu m$ were numerically calculated shown in figure 3. Taking f = 0.79 THz for example, the excited E_x field distributions are mainly located in the top left arm, the bottom right arm and the middle arm of air slots (figure $3(b_1)$) with the x-polarized normal illumination. Meanwhile, the cross-polarized component (E_v) is also excited with the corresponding field distributions are located in the upper and the lower arm of air slots, as shown in figure 3 (b_3) . Here, the aggregation of the excited electric field in air slots is solely dependent on the shape of the slots, which can be considered as the LSPs [40]. The corresponding phase distribution for the excited co-polarized component (E_x) is shown in figure $3(b_2)$. We can find that the phase difference between the side and the middle arms is nearly π , which means that the electric fields in the side and middle air slots destructively interfere with each other, leading to nearly zero intensity in transmitted x-polarized THz waves at f = 0.91 THz. On the contrary, the phase difference between E_v components is nearly 0 (figure 3(b₄)), resulting in the high transmission of the cross-polarized THz waves. In other words, by designing proper lower-order-symmetry structure, the E_x of LSPs can be approached to zero by virtue of the destructive interference (destructive resonance in co-polarization direction), meanwhile the excited E_{y} component still has zero phase difference (constructive resonance in copolarization direction). Therefore, the main physical mechanism of the cross-polarization conversion for our designed devices is field-suppression of the co-polarization components and field-enhancement of the cross-polarization components. Obviously, for x-polarized incident THz waves and f = 0.65, 0.98 THz, both of the co-polarized and crosspolarized transmitted THz waves are also excited at these two separated frequencies. However, the co-polarized THz waves are nearly cancelled out due to the nearly π -phase-difference between the side and the middle arms as depicted in figures 3 (a_2) and (c_2) , while the cross-polarized THz waves are maintained with high-transmittance because of the 0-phasedifference between E_y (cross-polarized) components (figures $3(a_3)$, (c_3) and (a_4) , (c_4)), demonstrating a broadband of LPC. On the other hand, by gradually decreasing the shifting distance of the metal rods, the response bandwidth of



Figure 3. Simulated electric field and phase distributions for $\Delta S = 14 \,\mu\text{m}$ at 0.65, 0.79, and 0.97 THz. (a₁)–(c₁) The electric field distributions of the excited *x*-component (E_x). (a₂)–(c₂) The corresponding phase distributions of the electric field (in (a₁)–(c₁)) for the excited *x*-component. (a₃)–(c₃) The electric field distributions of the excited *y*-component (E_y). (a₄)–(c₄) The corresponding phase distributions of the electric field (in (a₃)–(c₃)) for the excited *y*-component.

the destructive resonance in co-polarization direction is also decreased. Therefore, the bandwidth-controllable LPC can be realized by manipulating the shifting distance of the metal rods (see figures $2(b_1)$ and (b_3)).

When we consecutively break the symmetry of the microstructure, the bandwidth (with PCR > 90%) is gradually enhanced, leading to the bandwidth-controllable polarization converter. Therefore, the tunable (bandwidth-controllable) physical mechanism is that the PCR maintains more than 90% in a broader region when we increase the parameter ΔS . Now, we give the detailed physical interpretation as follows:

Taking f = 0.6 THz for example. In this case, the PCR for $\Delta S = 0, 4, 8, 14 \,\mu\text{m}$ are 0, 25%, 50% and 90%, respectively. For $\Delta S = 0$ and x-polarized incidence, the incident

electric field is mainly located in the middle arm (see figure 4 (a₁)). Although the cross-polarized component is also excited in the bottom and up-arms (figure 4(a₃)), it is cancelled out due to the destructive interference with π phase difference between these electric fields in the two arms (see the black dash box in figures 4(a₃) and (a₄)). Therefore, there is noncross-polarized polarization conversion for $\Delta S = 0$. When $\Delta S \neq 0$, the *x*-component electric field is excited in the bottom left and upper right arms. The larger ΔS , the stronger density of the excited *x*-component electric field (see figures 4 (b₁) and (d₁)). In addition, the phase difference between the excited electric field in the bottom left (or upper right) arms and the middle arm is nearly π (see figures 4(b₂)–(d₂)), resulting in destructive interference between them. However, for $\Delta S = 4$, 8 µm, the density of the excited *x*-component



Figure 4. Simulated electric field, phase and E-field energy density distributions for $\Delta S = 0$, 4, 8, 14 μ m at 0.6 THz (under the *x*-polarized incidence). (a₁)–(d₁) The electric field distributions of the excited *x*-component. (a₂)–(d₂) The corresponding phase distributions of the electric field for the excited *x*-component. (a₂)–(d₂) The corresponding electric field distributions of the excited *y*-component. (a₅)–(d₅) The corresponding electric field density distribution for $\Delta S = 0$, 4, 8, 14 μ m at 0.6 THz, respectively.

electric field in both side (left and right) arms is not enough strong to cancel the corresponding electric field located in the middle arm. The *x*-component electric field can be cancelled out only when $\Delta S = 14 \ \mu$ m. In a word, when we enhance the parameter ΔS , the excited *y*-component (cross-polarized) electric field is become more and more strong located in upper and bottom arms, as shown in figures 4(a₃)–(d₃) (the corresponding phase distributions are shown in figures 4 (a₄)–(d₄)). Actually, the polarization conversion is related to the enhancement of the cross-polarization in *y*-component and reduction of the co-polarization in *x*-component for the *x*-polarized incidence. From the above discussion, it can be found that for $\Delta S < 14 \,\mu\text{m}$, i.e. $\Delta S = 4$, $8 \,\mu\text{m}$, the *x*component localized field is not cancelled out completely, while the excited *y*-component localized field is not so stronger, resulting in a low PCR (at $\Delta S = 4$, $8 \,\mu\text{m}$). In figures 4(a₅)–(d₅)), with the enhancement of ΔS , the corresponding electric field density is become more and more strong in the bottom and upper arms (cross-polarized electric field), while the electric field density is much more weak in the middle arm (co-polarized electric field), which demonstrate the energy conversion gradually from *x*-polarized THz



Figure 5. (a) PCR ($L_4 = L'_4$) for $L_4 = 33 \ \mu m$ (red line), 27 μm (blue line), 14 μm (dark yellow line), 5 μm (green line). (b) PCR versus the varying of L_4 . (c₁)–(c₂) The electric field distributions for of the excited *x*-component (left) and *y*-component (right), respectively, for $L_4 = 27 \ \mu m$ at f = 0.61 THz. (c₃)–(c₄) The corresponding phase distributions for the electric field distributions of the excited *x*-component (left) and *y*-component (right), respectively.

waves to the *y*-polarized THz waves (when we gradually increase ΔS). In other words, with increasing the structure ΔS , the incident *x*-polarized field is gradually converted into the *y*-component (cross-polarized), leading to a broad bandwidth (with PCR > 90%) response for large ΔS . Since a large ΔS corresponding to a broad bandwidth (with PCR > 90%) response (in turn, a narrow bandwidth is corresponding to a small ΔS), we can tune the bandwidth by controlling the structure ΔS .

The above investigation of manipulating bandwidth of the linear polarization converter is mainly dependent on the shifting of metal rods (with fixed length). Now, we propose another method to control the bandwidth of the cross-polarized conversion. Here, the length of L_3 ($L_3 = L'_3 = 33 \ \mu m$) is fixed, and we gradually change the length of L_4 ($L_4 = L'_4$), that is, modulating the length of metal rods, to achieve a bandwidth-controllable linear polarization converter, as shown in figures 5(a) and (b). For $L_4 = 33, 27, 14$ and 5 μ m, the bandwidth of PCR over 90% is 0, 0.025, 0.3, and 0.45 THz, which means that the bandwidth of the crosspolarized conversion is gradually enhanced with decreasing the length (L_4) of the upper left and lower right air slots (see figure 1(b)). In addition, the physical mechanism in this case is analogous to the analysis in the above. When $L_4 = 27 \,\mu \text{m}$ and f = 0.61 THz, the transmitted E_x field components are suppressed for the destructive interference, and the corresponding E_y field components are enhanced for the inphase transmission (see figures $5(c_1)-(c_4)$).

4. Discussion

We discuss the polarization conversion properties for the metal rods (the region in the dashed box of figure 1(b)) shifting in the same direction, as shown in the inset (I_1-I_3) of figure 6(a). For $\Delta S \neq 0$, the structure is asymmetrical in xaxis but symmetrical in y-axis. Figure 5(a) illustrates the PCR for $\Delta S = 0$, 9.5 μ m, 19 μ m, respectively. Now, it is quite different from the above case of breaking the symmetry in both x- and y-axis, that is, the cross-polarized conversion is nearly inexistent (figure 6(d)). In order to understand the physical original of the non-existence of cross-polarization conversion, we show the corresponding electric field and phase distribution for $\Delta S = 9.5 \,\mu \text{m}$ at $f = 0.825 \,\text{THz}$, as shown in figures $6(c_1)-(c_4)$. For the x-polarized illumination, both x-polarized and y-polarized transmitted THz waves are excited simultaneously (figures $6(c_1)-(c_2)$). It should be noted that the electric field distributions of the excited cross-polarized (y-polarized) transmitted THz waves, located in these two white-dot-box regions, are almost symmetrical from each other but with phase difference of π (see figure 6(c₄)), leading to a perfect destructive interference for E_{v} components. For the excited x-polarized transmitted THz waves, the electric



Figure 6. (a) PCR for $\Delta S = 0$ (red line), 9.5 μ m (blue line), 19 μ m(dark yellow line); (b) the transmittance spectra for $\Delta S = 9.5 \,\mu$ m; (c₁)–(c₂) the electric field distributions for of the excited *x*-component (left) and *y*-component (right), respectively, for $\Delta S = 9.5 \,\mu$ m and f = 0.825 THz. (c₃)–(c₄) The corresponding phase distributions for the electric field distributions of the excited *x*-component (right), respectively. Inset in (a): (I_1 – I_3) are the schematics of unit cell for the metal rods shifting in the same direction with $\Delta S = 0$, 9.5 μ m, and 19 μ m, respectively. (d) PCR versus the varying of ΔS .

fields located in the two side arms are also destructive interference with the electric fields located in the middle arm, but they cannot be totally cancelled out. The transmission spectra ranging from 0.35 to 1.45 THz (see figure 6(b)) also demonstrate that the transmitted *y*-electric component is very weak (nearly approached to zero), while the transmitted *x*electric component plays a major role (which is larger than that of the transmitted *y*-electric component). Therefore, cross-polarization conversion will not be achieved in this case because of the perfect destructive interference of the excited E_y components. Finally, we give an appropriate comparison between our device and the previously reported design in terms of the PCR, and bandwidth. In table 1, we show the comparison between our polarization converter and other reported designs relate to the PCR and bandwidth. From the comparison, we can find that the property (PCR and bandwidth) our proposed device is in the intermediate level among all of the previous works. However, we want to emphasize that the main innovation of our work is the bandwidth-controllable THz polarization converter, that is, we can manipulate the bandwidth of the THz polarization converter by consecutively breaking the

Table 1. A comparison between our polarization converter and other reported designs in term of the PCR and bandwidth.

Literature	PCR	Bandwidth
Hao et al (2007) [16]	100%	single frequency
Li et al (2010) [17]	93%	single frequency
Grady et al (2013) [19]	>90%	1.07 THz
Cong et al (2013) [26]	$\approx 100\%$	0.61 THz
Levesque et al (2014) [18]	≥95%	21.7 THz
Yang et al (2014) [20]	>90%	37.8 THz
Fan et al (2015) [27]	$\approx 100\%$	0.24 THz
Ding et al (2015) [21]	>90%	187.5 THz
Liu et al (2015) [29]	>90%	0.6 THz
Zhang et al (2016) [22]	>99%	0.01 THz
Sui et al (2016) [24]	$\approx 100\%$	single frequency
Mo et al (2016) [23]	80%	single frequency
Yu et al (2016) [25]	>90%	0.55 THz
Our Polarization Converter	>90%	0.453 THz

symmetry of the designed micro-structure. In addition, another innovation point is that the physical mechanism of polarization conversion (of our designed device) results from field-suppression of co-polarization components and field-enhancement of cross-polarization components, respectively, which is quite different from the conventional case of geometry phase [19–23]. In a word, our focusing is the bandwidth tunable and the new physical mechanism of the cross-polarization conversion rather than the high PCR and the broad bandwidth.

5. Conclusion

In summary, we have investigated a transmission-mode THz broadband cross-polarization converter by designing singlelayer ultrathin metasurfaces embedded with lower-ordersymmetry of split dual-ring air slots. By selecting proper design parameters, the bandwidth of such linear polarization converter can be reached to 0.45 THz with PCR over 90%, due to the phase difference of π between the co-polarized electric components and 0 between the cross-polarized electric components. More specifically, we also experimentally demonstrated that the bandwidth with PCR over 90% could be simply modulated by gradually shifting the side metal rods located in the split dual-ring air slots. The cross-polarized converter device presented here may pave the way to use single-layer metasurfaces for the design of ultra-compact and bandwidth-controllable transmission-type of the THz functional devices.

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